



RECEIPT \$

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Takahiro Endo, et al. )  
Serial No: 09/803,685 )  
Filed: March 9, 2001 ) Attorney Docket No. 09792909-4804  
Title: SOLID ELECTROLYTE BATTERY )  
AND PRODUCTION METHOD ) Group Art Unit No.: 1745  
THEREOF )  
Examiner: )

Assistant Commissioner of Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

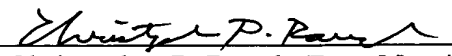
**PETITION FOR CORRECTED FILING RECEIPT UNDER 37 CFR §1.181**

Dear Sir:

This petition seeks to correct the Filing Receipt which issued for the above-noted application. The Filing Receipt incorrectly lists the first inventor as "Tomitaro Hara". The first inventor's name should be corrected to read --Takahiro Endo--. The first inventor's name was correctly listed on all of the documents transmitted with the application, but incorrectly on the unsigned Declaration which was attached to the application. The executed Declaration and Power of Attorney transmitted with the Submission of Missing Parts correctly states the first inventor's name as Takahiro Endo.

Enclosed for correction is a copy of the Filing Receipt indicating the correction to be made. In view of the foregoing remarks and supporting documentation, correction of the Filing Receipt is requested. A check in the amount of \$25.00 is enclosed to cover the fee for the corrected filing receipt. The Commissioner is authorized to charge any additional fee or credit any deficiency to Deposit Account No. 19-3140. A duplicate copy of this submission is enclosed for that purpose.

Respectfully submitted,

  
Christopher P. Rauch (Reg. No. 45,034)

SONNENSCHN NATH & ROSENTHAL  
P.O. Box #061080  
Wacker Drive Station, Sears Tower  
Chicago, IL 60606-1080  
(312)876-8000

I hereby certify that this document and any being referred to as attached or enclosed is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on 8/17/01.

Date Karen A. Turkowski



UNITED STATES PATENT AND TRADEMARK OFFICE

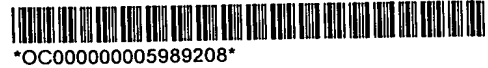
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/803,685	03/09/2001	1745	836	09792909-4804	11	27	2

CONFIRMATION NO. 7007

26263  
SONNENSCHN NATH & ROSENTHAL  
P.O. BOX 061080  
WACKER DRIVE STATION  
CHICAGO, IL 60606-1080

FILING RECEIPT



Date Mailed: 04/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Tomitaro Hara, Miyagi, JAPAN;  
Koichiro Kezuka, Miyagi, JAPAN;  
Tsuyonobu Hatazawa, Miyagi, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN P2000-072513 03/10/2000

If Required, Foreign Filing License Granted 04/19/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

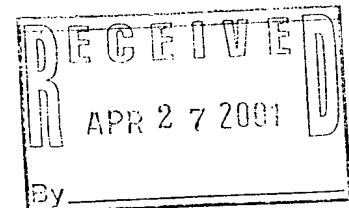
Non-Publication Request: No

Early Publication Request: No

Title

Solid electrolyte battery and production method thereof

RECEIVED 4/24/01 DOCKET  
DOCKETED 10/12/01  
DIARIED 4/27/01  
BY Jag



Preliminary Class

429

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Data entry by : PETROS, TADELECH

Team : OIPE

Date: 04/20/2001





BOX MISSING PARTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Takahiro Endo, et al. ATTY. DOCKET NO. 09792909-4804  
SERIAL NO. 09/803,685 GROUP ART UNIT: 1745  
DATE FILED: March 9, 2001 EXAMINER:  
INVENTION: "SOLID ELECTROLYTE BATTERY AND PRODUCTIOIN METHOD  
THEREOF"

SUBMISSION OF MISSING PARTS OF APPLICATION

Assistant Commissioner of Patents  
Washington, D.C. 20231

S I R:

In response to the Notice to File Missing Parts dated April 20, 2001, the undersigned submits an executed Oath and Declaration as executed by the inventor(s) on July 31, August 3 and 8 2001.

A check in the amount of \$130.00 to cover the required fee of this submission is enclosed. Applicants also request an extension of time to August 20, 2001, for filing this response. A check in the amount of \$390.00 to cover the two-month extension fee is enclosed. The Commissioner is authorized to charge any deficiencies or credit any overpayments to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed for that purpose.

Respectfully submitted,

Christopher P. Rauch (Reg. No. 45,034)  
Christopher P. Rauch  
SONNENSCHN NATH & ROSENTHAL  
P.O. Box #061080  
Wacker Drive Station - Sears Tower  
Chicago, Illinois 60606-1080  
Telephone 312/876-8000  
Customer #26263  
Attorneys for Applicants

CERTIFICATE OF MAILING

I hereby certify that a true copy of the foregoing Submission of Missing Parts was forwarded to the United States Patent Office via U.S. First Class mail on August 17, 2001.

08/23/2001 TLWJ11 00000016 09803685

02 FC:116

390.00 DP

Christopher P. Rauch



BOX MISSING PARTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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SERIAL NO. 09/803,685 GROUP ART UNIT: 1745  
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Christopher P. Rauch (Reg. No. 45,034)  
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Christopher P. Rauch



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/803,685	03/09/2001	Tomitaro Hara	09792909-4804

CONFIRMATION NO. 7007

26263  
SONNENSCHN NATH & ROSENTHAL  
P.O. BOX 061080  
WACKER DRIVE STATION  
CHICAGO, IL 60606-1080

## FORMALITIES LETTER



\*OC000000005989209\*

Date Mailed: 04/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/23/2001 TL0011 00000016 09803685

01 FC:105

130.00 DP